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**FOURTH SUPPLEMENTAL
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)

Sheet 1 of 12

Complete if Known

Application Number	10/075,194
Filing Date	February 12, 2002
First Named Inventor	Klimenty VAINSTEIN
Art Unit	2135
Examiner Name	Klimach, Paula W.
Attorney Docket Number	2222.5390003

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
US1	4,203,166		05-13-1980	Esham et al.	
US2	4,734,568		03-29-1988	Watabane	
US3	4,796,220		01-01-1989	Wolfe	
US4	4,827,508		05-02-1989	Shear	
US5	4,888,800		12-19-1989	Marshall et al.	
US6	4,972,472		11-20-1990	Brown et al.	
US7	5,032,979		07-16-1991	Hecht et al.	
US8	5,144,660		09-01-1992	Rose	
US9	5,204,897		04-20-1993	Wyman	
US10	5,301,247		04-05-1994	Rasmussen et al.	
US11	5,319,705		06-07-1994	Halter et al.	
US12	5,404,404		04-01-1995	Novorita	
US13	5,557,765		09-17-1996	Lipner et al.	
US14	5,606,663		02-25-1997	Kadooka	
US15	5,671,412		09-23-1997	Christiano	
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US17	5,720,033		02-17-1998	Deo	
US18	5,748,736		05-05-1998	Mitra	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
FP1	EP 0 950 941 A3		10-20-1999	Fujitsu Limited		
FP2	EP 1 107504 A2		06-13-2001	Benayoun et al.		
FP3	WO 01/63387 A2		08-30-2001	Visualgold.com, Inc.		
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Examiner Signature	/Yogesh Paliwal/	Date Considered	04/22/2008
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Sheet 2 of 12

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U.S. PATENT DOCUMENTS

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US21	5,778,065		07-07-1998	Hauser et al.	
US22	5,790,789		08-04-1998	Suarez	
US23	5,835,592		11-10-1998	Chang et al.	
US24	5,857,189		01-05-1999	Riddle	
US25	5,870,468		02-09-1999	Harrison	
US26	5,881,287		03-09-1999	Mast	
US27	5,893,084		04-06-1999	Morgan et al.	
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US29	5,944,794		08-31-1999	Okamoto et al.	
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US31	5,968,177		10-19-1999	Batten-Carew et al.	
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FP10						
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Examiner Signature	/Yogesh Paliwal/	Date Considered	04/22/2008
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US41	6,134,658		10-17-2000	Multerer et al.	
US42	6,145,084		11-07-2000	Zulli	
US43	6,185,684 B1		02-06-2001	Pravetz et al.	
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US46	6,240,188 B1		05-29-2001	Dondeti et al.	
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US58	6,405,315 B1		06-11-2002	Burns et al.	
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Examiner Signature	/Yogesh Paliwal/	Date Considered	04/22/2008
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Sheet 4 of 12

Complete if Known

Application Number	10/075,194
Filing Date	February 12, 2002
First Named Inventor	Klimenty VAINSTEIN
Art Unit	2135
Examiner Name	Klimach, Paula W.
Attorney Docket Number	2222.5390003

U.S. PATENT DOCUMENTS

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US61		6,453,353 B1	09-17-2002	Win et al.	
US62		6,466,932 B1	10-15-2002	Dennis et al.	
US63		6,477,544 B1	11-05-2002	Bolosky et al.	
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US70		6,588,673 B1	07-08-2003	Chan et al.	
US71		6,598,161 B1	07-22-2003	Kluttz et al.	
US72		6,603,857 B1	08-05-2003	Batten-Carew et al.	
US73		6,615,349 B1	09-02-2003	Hair	
US74		6,615,350 B1	09-02-2003	Schell et al.	
US75		6,625,650 B1	09-23-2003	Stelliga	
US76		6,629,243 B1	09-30-2003	Kleinman et al.	
US77		6,640,307 B2	10-28-2003	Viets et al.	
US78		6,646,515 B2	11-11-2003	Jun et al.	
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US80		6,718,361 B1	04-06-2004	Basani et al.	

FOREIGN PATENT DOCUMENTS

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FP28						
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Examiner Signature	/Yogesh Paliwal/	Date Considered	04/22/2008
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Application Number	10/075,194
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US81	6,735,701 B1	05-11-2004	Jacobson		
US82	6,738,908 B1	05-18-2004	Bonn et al.		
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US89	6,845,452 B1	01-18-2005	Roddy et al.		
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US91	6,889,210 B1	05-03-2005	Vainstein, Klimenty		
US92	6,891,953 B1	05-10-2005	DeMello et al.		
US93	6,892,201 B2	05-10-2005	Brown et al.		
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US97	6,931,450 B2	08-16-2005	Howard et al.		
US98	6,931,530 B2	08-16-2005	Pham et al.		
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FP37						
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Examiner Signature	/Yogesh Paliwal/	Date Considered	04/22/2008
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US101	6,941,355 B1	09-06-2005	Donaghay et al.		
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	FP46					
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Substitute for form 1449/PTO

**FOURTH SUPPLEMENTAL
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 7 of 12

Complete if Known

Application Number	10/075,194
Filing Date	February 12, 2002
First Named Inventor	Klimenty VAINSTEIN
Art Unit	2135
Examiner Name	Klimach, Paula W.
Attorney Docket Number	2222.5390003

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number <small>Number-Kind Code² (if known)</small>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
US121	7,051,213 B1		05-23-2006	Kobayashi et al.	
US122	7,058,978 B2		06-06-2006	Feuerstein et al.	
US123	7,073,063 B2		07-04-2006	Peinado	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document <small>Country Code² Number³ Kind Code⁴ (if known)</small>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T-6
FP55						
FP56						
FP57						
FP58						
FP59						
FP60						
FP61						
FP62						
FP63						

Examiner Signature	/Yogesh Paliwal/	Date Considered	04/22/2008
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**FOURTH SUPPLEMENTAL
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 8 of 12

Complete if Known

Application Number	10/075,194
Filing Date	February 12, 2002
First Named Inventor	Klimenty VAINSTEIN
Art Unit	2135
Examiner Name	Klimach, Paula W.
Attorney Docket Number	2222.5390003

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US141	7,171,557 B2	01-30-2007	Kallahalla et al.		
US142	7,174,563 B1	02-06-2007	Brownlie et al.		
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FOREIGN PATENT DOCUMENTS

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	FP64					
	FP65					
	FP66					
	FP67					
	FP68					
	FP69					
	FP70					
	FP71					
	FP72					

Examiner Signature	/Yogesh Paliwal/	Date Considered	04/22/2008
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**FOURTH SUPPLEMENTAL
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STATEMENT BY APPLICANT**

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Sheet

9

of

12

Complete if Known

Application Number	10/075,194
Filing Date	February 12, 2002
First Named Inventor	Klimenty VAINSTEIN
Art Unit	2135
Examiner Name	Klimach, Paula W.
Attorney Docket Number	2222.5390003

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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
FP73						
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Examiner Signature	/Yogesh Paliwal/	Date Considered	04/22/2008
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Sheet 10 of 12

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Application Number	10/075,194
Filing Date	February 12, 2002
First Named Inventor	Klimenty VAINSTEIN
Art Unit	2135
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Attorney Docket Number	2222.5390003

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FP82						
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Examiner Signature	/Yogesh Paliwal/	Date Considered	04/22/2008
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**FOURTH SUPPLEMENTAL
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 11 of 12

Complete if Known

Application Number	10/075,194
Filing Date	February 12, 2002
First Named Inventor	Klimenty VAINSTEIN
Art Unit	2135
Examiner Name	Klimach, Paula W.
Attorney Docket Number	2222_5390003

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		Number-Kind Code (if Known)			
	US201	2004/0193602 A1	09-30-2004	Liu et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Signature	/Yogesh Paliwal/	Date Considered	04/22/2008
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FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT			
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Sheet	12	of	12
<i>Complete if Known</i>			
Application Number		10/075,194	
Filing Date		February 12, 2002	
First Named Inventor		Klimenty VAINSTEIN	
Art Unit		2135	
Examiner Name		Klimach, Paula W.	
Attorney Docket Number		2222.5390003	

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		Number-Kind Code ² (If Known)			
US221	2006/0005021 A1		01-05-2006	Torrubia-Saez	
US222	2006/0075465 A1		04-06-2006	Ramanathan et al	
US223	2006/0093150 A1		05-04-2006	Reddy et al.	
US224	2006/0230437 A1		10-12-2006	Boyer et al.	
US225	2007/0006214 A1		01-04-2007	Dubal et al.	
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US240					

Examiner Signature	/Yogesh Paliwal/	Date Considered	04/22/2008
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(Use as many sheets as necessary)

Sheet

1

of

5

<i>Application Number</i>	10/075,194
<i>Filing Date</i>	February 12, 2002
<i>First Named Inventor</i>	Klimenty VAINSTEIN
<i>Art Unit</i>	2135
<i>Examiner Name</i>	Klimach, Paula W.
<i>Attorney Docket Number</i>	2222.5390003

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	NPL1	STALLINGS, William, "Cryptography and Network Security: Principles and Practice," 1999, pp. 333-337, Second Edition, Prentice Hall, Upper Saddle River, New Jersey.	
	NPL2	"Affect," The American Heritage Dictionary of the English Language, Fourth Edition, Houghton Mifflin Company, 2002. Retrieved May 4, 2006 from http://dictionary.reference.com/search?q=affect	
	NPL3	Microsoft Windows 200 server. Windows 2000 Group Policy White Paper, 2000	
	NPL4	Symantec. Norton Antivirus Corporate Edition Implementation Guide, 1999.	
	NPL5	Crocker, Steven Toye, "Multi-level cryptographic transformations for securing digital assets," U.S. Patent Application No. 10/404,566, filed 03/31/2003.	
	NPL6	Crocker, Steven Toye, "Effectuating access policy changes to designated places for secured files," U.S. Patent Application No. 10/259,075, filed 09/27/2002.	
	NPL7	Kenrich, Michael Frederick, "Multi-Level File Digest", U.S. Patent Application No. 10/894,493, filed 07/19/2004	
	NPL8	Kinghorn, Gary Mark, "Method and system for protecting electronic data in enterprise environment," U.S. Patent Application No. 10/159,220, filed 05/31/2002	
	NPL9	Nath, Satyajit, "Method and system for securing digital assets using content type designations," U.S. Patent Application No. 10/405,587, filed 04/01/2003.	
	NPL10	Prakash, Nalini J., "Method and apparatus for securing/unsecuring files by file crawling," U.S. Patent Application No. 10/325,102, filed 12/20/2002	

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**FOURTH SUPPLEMENTAL
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Sheet

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of

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Application Number	10/075,194
Filing Date	February 12, 2002
First Named Inventor	Klimenty VAINSTEIN
Art Unit	2135
Examiner Name	Klimach, Paula W.
Attorney Docket Number	2222.5390003

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	NPL11	Roessmann, Alain, "Hybrid systems for securing digital assets," U.S. Patent Application No. 10/325,013, filed 12/20/2002.	
	NPL12	A Real-Time Push-Pull Communications Model for Distributed Real-Time and Multimedia Systems, January 1999, School of Computer Sciences Carnegie Mellon University, Kanaka Juvva, Raj Rajkumar.	
	NPL13	U.S. patent application, Ser No. 10/889,685, entitled "Method and Apparatus for Controlling the Speed Ranges of a Machine " inventor Thomas, July 13, 2004, 18 pgs.	
	NPL14	U.S. patent application, Ser No. 10/028,397, entitled "Method and system for restricting use of a clipboard application, " inventor Zulii, December 21, 2001, 38 pgs.	
	NPL15	U.S. patent application, Ser No. 10/368,277, entitled "Method and apparatus for uniquely identifying files, " inventor Ouye, February 18, 2003, 25 pgs.	
	NPL16	U.S. patent application, Ser No. 10/327,320, entitled "Security system with staging capabilities " inventor Vainstein, December 20, 2002, 39 pgs.	
	NPL17	U.S. patent application, Ser No. 10/286,524, entitled "Security system that uses indirect password-based encryption, " inventor Gutnik, November 1, 2002, 38 pgs.	
	NPL18	U.S. patent application, Ser No. 10/242,185, entitled "Method and system for protecting encrypted files transmitted over a network. " inventor Ryan, September 11, 2002, 33 pgs.	
	NPL19	U.S. patent application, Ser No. 10/642,041, entitled "Method and system for fault-tolerant transfer of files across a network " inventor Kenrich, August 15, 2003, 32 pgs.	
	NPL20	U.S. patent application, Ser No. 10/610,832, entitled "Method and system for enabling users of a group shared across multiple file security systems to access secured files " inventor Ryan, June 30, 2003, 33 pgs.	

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	NPL21	U.S. patent application, Ser No. 10/448,806, entitled "Method and System for Using Remote Headers to Secure Electronic Files" inventor Ryan, May 30, 2003, 35 pgs.	
	NPL22	U.S. patent application, Ser No. 10/074,194, entitled "Methods for identifying compounds that inhibit or reduce FIP1B expressions" inventor Rondonone, February 12, 2002, 69 pgs.	
	NPL23	U.S. patent application, Ser No. 10/074,804, entitled "Secured Data Format for Access Control," inventor Garcia, February 12, 2002, 108 pgs.	
	NPL24	U.S. patent application, Ser No. 10/074,996, entitled "Method and Apparatus for Securing Electronic Data," inventor Lee et al., February 12, 2002, 111 pgs.	
	NPL25	U.S. patent application, Ser No. 10/074,825, entitled "Method and Apparatus for Accessing Secured Electronic Data Off-line," inventor Lee et al., February 12, 2002, 108 pgs.	
	NPL26	U.S. patent application, Ser No. 10/105,532, entitled "System and Method for Providing Different Levels of Key Security for Controlling Access to Secured Items," inventor Hildebrand et al., March 20, 2002, 86 pgs.	
	NPL27	U.S. patent application, Ser No. 10/186,203, entitled "Method and System for Implementing Changes to Security Policies in a Distributed Security System," inventor Huang, June 26, 2002, 65 pgs.	
	NPL28	U.S. patent application, Ser No. 10/201,756, entitled "Managing Secured Files in Designated Locations," inventor Alain, July 22, 2002, 121 pgs.	
	NPL29	U.S. patent application, Ser No. 10/206,737, entitled "Method and System for Updating Keys in a Distributed Security System," inventor Hildebrand, July 26, 2002, 60 pgs.	
	NPL30	U.S. patent application, Ser No. 10/246,079, entitled "Security System for Generating Keys from Access rules in a Decentralized Manner and Methods Therefor," inventor Hildebrand, September 17, 2002, 78 pgs.	

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	NPL31	U.S. patent application, Ser No. 10/259,075, entitled "Effectuating Access Policy Changes to Designated Places for Secured Files," inventor Crocker, September 27, 2002, 60 pgs.	
	NPL32	U.S. patent application, Ser No. 10/286,575, entitled "Method and Architecture for Providing Access to Secured Data from Non-Secured Clients," inventor Vainstein, November 1, 2002, 46 pgs.	
	NPL33	U.S. patent application, Ser No. 10/295,363, entitled "Security System Using Indirect Key Generation from Access Rules and Methods Therefor," inventor Vainstein, November 15, 2002, 70 pgs.	
	NPL34	U.S. patent application, Ser No. 11/889,310, entitled "Methods and Systems for Providing Access Control to Electronic Data," inventor Rossmann, August 10, 2007, 90 pgs.	
	NPL35	U.S. patent application, Ser No. 11/797,367, entitled "Method and System for Managing Security Tiers," inventor Vainstein, May 2, 2007, 11 pgs.	
	NPL36	Adobe Acrobat 5.0 Classroom in a Book, Adobe Press, 26 June 2001, pp 1-4.	
	NPL37	Adobe Acrobat Security Settings, Acrobat 7.0, 15 Nov 2004, pp.1-4.	
	NPL38	"Security Options". December 20, 2001. DC & Co. pages 1-2	
	NPL39	Microsoft Press Computer Dictionary, 1997, Microsoft Press, Third Edition, page 426.	
	NPL40	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8530, 2 pages.	

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	NPL41	Search Report, completion date October 2, 2003, for European Patent Application No. EP 02 25 8531, 2 pages.
	NPL42	Search Report, completion date April 14, 2005, for European Patent Application No. EP 02 25 8533, 2 pages.
	NPL43	Search Report, completion date March 16, 2005, for European Patent Application No. EP 02 25 8534, 2 pages.
	NPL44	Search Report, completion date March 2, 2005, for European Patent Application No. EP 02 25 8535, 2 pages.
	NPL45	Search Report, completion date March 3, 2005, for European Patent Application No. EP 02 25 8537, 2 pages.
	NPL46	Search Report, completion date May 12, 2005, for European Patent Application No. EP 02 25 8539, 2 pages.
	NPL47	Search Report, completion date July 6, 2005, for European Patent Application No. EP 02 25 8529, 4 pages.
	NPL48	Search Report, completion date October 8, 2003, for European Patent Application No. EP 02 25 8536, 2 pages.
	NPL49	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8540, 2 pages.
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